Function	Records	Record Group	Retention Period	Action	Citations and Notes
Student Administration	Student	Personal data on individual students	End of relationship + 6 years	Dispose	Limitation Act, 1980
		APART FROM EXCEPTIONS LISTED BELOW			
Student Administration	Student	Core student record including:	Perpetuity	n/a	
		- Personal details to verify identity (name, dob, address)			
		- Dates and programme of study, final award and classification, credit			
		received i.e. transcript from 2001 onwards			
Student Administration	Student	Degree apprenticeship student records for enrolments up to and	Until 2034	Dispose	ESFA funding linked to European funding.
		including 2021/2022 academic year.		·	Degree apprenticeship student records for
		3 , ,			enrolments from 2022/2023 follow standard
					student records retention period.
Taught Student Assesssment	Student assessed work	Undergraduate and taught postgraduate student hard copy and digital	End of relationship + 5 years	Dispose	OfS guidance on retention of students' assessed
		coursework, examination scripts, artefacts, projects and dissertations			work relating to conditions B4 and B5 published
		Including for withdrawn or terminated students			in March 2024. The University is currently
					formluating its approach to this requirement to
					ensure that it is compliant. As of March 2024 do
					not dispose of any records of assessed work.
					not dispose of any records of assessed work.
Student Administration	Student awards administration	Award document orders and award verification for third parties	Current academic year + 6 years	Dispose	
Student Administration	Student information requests	Handling of requests for:	Last action on request + 1 year	Dispose	
		- Ad hoc analyses of data from individual students' information	,,		
		- Statements of results/transcripts from individual students			
		- Confirmation of individual students' awards, attendance or conduct			
		from employers and other educational institutions			
Student Administration	Student surveys	Individual responses to surveys	Completion of analysis of responses	Dispose	
Student Administration	Examination administration	Organisation of examination facilities, including special arrangements		Dispose	
student / turninstrution		for students with a disability	current deddenne yeur i 2 yeurs	Dispose	
		Individual student's attendance at examinations			
Student academic work	Student research theses	Postgraduate research theses	Indefinitely	n/a	Digital coursework may be archived in Canvas VL
Academic administration	Academic staff records	Academic staff constraints for timetabling	Current academic year + 3 years	Dispose	
Learning and Teaching Enhancement	Academic staff records	Academic staff development, HEA accreditation and event attendance	Current academic year + 6 years	Dispose	
Learning and Teaching Enhancement	Lecture capture	Video and audio recording in classrooms, online teaching sessions and	18 months	Dispose	
		other learning and teaching scenarios such as field trips and site visits			
Quality Assurance & Enhancement	Quality audits and reviews	Conduct, results and actions taken for internal and external quality	Completion of audit/review + 10 years	Dispose	Consult QAE before disposal
		audits and periodic and risk based reviews, including but not limited			
		to:			
		- Documents submitted to the review panel, excluding any personal			
		details			
		- Review report			
		- Response to conditions and recommendations			
Quality Assurance & Enhancement	Monitoring and enhancement process	Reports from annual monitoring and enhancement process, including	Completion of review + 5 years	Dispose	Consult QAE before disposal
Quality Assurance & Enhancement	wonitoring and enhancement process	Module Enhancement Plans (MEPs), Course Enhancement Plans	completion of review + 5 years	Dispose	consult QAE before disposal
Quality Accurance & Enhancement	External examiners	(CePs) and Head of Department Reports	Last activity L2 years	Disposo	
Quality Assurance & Enhancement	External examiners	Selection and appointment of external examiners: unsuccesful	Last activity + 2 years	Dispose	
Quality Assurance & Exhancement	Enternal eventinese	applications	End of volationship + C voors	Disease	Institutional value about external events in the
Quality Assurance & Enhancement	External examiners	Selection, appointment and personal data of external examiners,	End of relationship + 6 years	Dispose	Institutional rules about external examiners
		including the institutions they are from: successful applications			holding the post more than once, therefore basic
o III A			<u> </u>		personal details must be retained
Quality Assurance & Enhancement	External examiners	External examiner claim forms	Current academic year + 6 years	Dispose	
Quality Assurance & Enhancement	External examiner reports		10 years	Dispose	Consult QAE before disposal
		serious concerns			

Quality Assurance & Enhancement	PSRB management	Attainment and maintenance of the institution's accreditation by Professional, Statutory and Regulatory Bodies (PSRBs)	Termination of accreditation + 1 year	Dispose	Consult QAE before disposal
Quality Assurance & Enhancement	PSRB management	Formal documentation confirming the accreditation status from PSRBs	Termination of accreditation + 10 years	Dispose	Consult QAE before disposal
Quality Assurance & Enhancement	Course validation	Recruitment of external validation/substantive review panel members	Last activity + 2 years	Dispose	
Quality Assurance & Enhancement	Course validation	Panel presentation for the validation of new courses and revalidation of existing courses	10 years	Dispose	Consult QAE before disposal
Quality Assurance & Enhancement	Course validation	Module descriptors and programme specifications for courses validated by the university	Lifetime of course + 10 years	Dispose	Consult QAE before disposal
Quality Assurance & Enhancement	Collaborative academic partnerships	Operation of the university's collaborative academic partnerships e.g. due diligence reports, institutional agreements, annual institutional monitoring reports	Life of the partnership + 10 years	Dispose	Consult QAE before disposal
Business Engagement	Customer/clients	Business engagement contacts and business partner staff Short course and summer school students	End of relationship + 6 years	Dispose	
Business Engagement	Customer/clients	Open short course enquirers	Last action + 2 years	Dispose	
Research & GRS	Research student assessment	Marks, awards and classifications of completed assessments, including reviews in response to notifications of mitigating circumstances and academic appeals; training in research-related skills	Current academic year + 6 years	Dispose	
Research & GRS	Research supervision and support	Appointment of supervisors for research students; academic advice and guidance to students	End of relationship + 6 years	Dispose	
Research Impact	REF records	Research Excellence Framework (REF) records	7 years	Dispose	
Research Systems, Governance & Funding	Research business development	Liaison with research sponsors to monitor research policies and promote institution's capabilities Identification and exploration of new research opportunities that do not lead to research projects Design of research projects and the preparation of formal research project proposals that do not lead to research projects	Current academic year + 6 years	Dispose	
Research Systems, Governance & Funding	Research business development	Identification and exploration of new research opportunities that lead to research projects	Completion of project	Review for archival value	
Research Systems, Governance & Funding	Research projects	Formulation and management of partnerships and other colllaborative arrangements to undertake research Design of research projects and the prepration of formal research project proposals that lead to research projects Conduct and management of medical and non-medical research, funded internally or by external organisations	End of relationship + up to 20 years	Dispose	REF timescales Case by case basis as determined by legal, commercial, ethical and other external factors, funding agreements, sponsor requirements. E.g. Medical Research Council's 'Good Research Practice: principles and guidelines' External project sponsors may require a longer period
Research Systems, Governance & Funding	Research dissemination	Final versions of publications, presentations	Current academic year + 6 years	Review for archival value	In perpetuity, minimum publication delivery + 7 years
Research Systems, Governance & Funding	Research dissemination	Datasets generated from research	Last activity + 10 years	Dispose	Dependent on the nature of the research, funder regulations and regulatory requirements Redacted data or metadata only may be available where full data is sensitive. Full datasets should be retained inaccessibly to fulfil external policies for the full period the reduced data is publically available

Research Systems, Governance & Funding	Research exploitation	Identification of new intellectual property and liaison with specialists regarding applications for patents etc Identification of opportunities for commercial exploitation of research results and liaison with specialist staff regarding formulation of spin- out companies to develop commercial products Identification of new intellectual property that does not require specialist input		Review for archival value	Consult RBI before disposal
Planning	Statutory returns	HESA, Graduate Outcomes and other statutory returns	Current academic year + 6 years	Review for archival value	
Planning	Student relations management	Establishment and operation of staff-student liaison committees	Life of committee + 3 years	Review for archival value	
Planning	Student relations management	Content and action of staff-student liaison committees	Current academic year + 3 years	Review for archival value	
Planning	Student relations management	Responses to student suggestion schemes/feedback sessions/working groups	Last action + 1 year	Dispose	
Planning	Student data for institutional research	Student data processed for research purposes relating to strategic University questions, for monitoring the university commitments made to the OfS, fulfilling university staff data requests	Last activity + 10 years	Dispose	

Function	Records	Record Group	Retention Period	Action	Citations and Notes
Compliance and Information	GDPR compliance management	Data Subject Rights requests, third party requests	Last activity + 3 years	Dispose	Anonymised data in log retained indefinitely
Governance		Requests for data protection advice and responses			
Compliance and Information	GDPR compliance management	Handling of data breaches	Last activity + 6 years	Dispose	Anonymised data in log retained indefinitely
Governance					
Compliance and Information	FOI compliance management	Request for access to information under the Freedom of Information	Last activity + 6 years	Dispose	Limitation Act 1980
Governance		Act 2000			
External Relations	Government liaison	Ministerial visits and MP correspondence and meetings	Last activity + 10 years	Dispose	
External Relations	Public communication management	Local stakeholder engagement	Last activity + 10 years	Dispose	
		Residents' enquiries and complaints			
External Relations	Media communication management	Institution's media contacts	End of relationship	Dispose	
External Relations	Media communication management	Planning, organisation and transcripts of media briefings and	Last activity + 2 years	Review for archival value	
		interviews			
		Press releases; proactive media activity			
		Media enquiries, internal handling and responses provided; reactive			
		media activity			
		Monitoring and analysis of media coverage of a situation			
External Relations	Staff and student promotional and	Student and staff internal promotional and communications activity	Last activity + 2 years	Dispose	
	communications activity				
External Relations	Staff and student promotional and communications activity	Staff and student external promotional activity	Last activity + 5 years	Dispose	
Health, Safety and Security	Health and safety audit	Conduct and results of health and safety audits and actions taken	Completion of audit + 6 years	Review for archival value	Consult H&S team before disposal Health & Safety at Work Act 1974
Health, Safety and Security	Health and safety consultation	Notifications of appointments of safety representatives by trade	Termination of appointment/membership + 1 year	Dispose	Safety Representatives and Safety Committees
nearly, safety and security	health and safety consultation	unions	remination of appointment/membership + 1 year	Dispose	Regulations 1977
		Election of members of a safety committee			Regulations 1577
		Election of employee safety representatives			
Health, Safety and Security	Health and safety consultation	Provision of time off, other facilities, assistance and training for safety	Current academic year + 6 years	Dispose	Health and Safety (Consultation with Employees)
		representatives			Regulations 1996
Health, Safety and Security	Health and safety consultation	Consultations and other communications with safety representatives	Current academic year + 50 years	Dispose	Safety Representatives and Safety Committees
		Proceedings and decisions of a safety committee	· ·		Regulations 1977
		- '			Health and Safety (Consultation with Employees)
					Regulations 1996
Health, Safety and Security	Health and safety consultation	Formation of a safety committee, including the objectives, role,	Life of committee + 50 years	Dispose	Safety Representatives and Safety Committees
		functions, composition and administration of the committee			Regulations 1978

	Health and safety information, instruction and training provision	Provision of information, instruction and training for employees, students and others on health and safety in the institution's premises	Current academic year + 6 years	Dispose	Health and Safety (First Aid) Regulations 1981 (S.I 1981/917)
		Information, instruction on health and safety matters provided for			Electricity at Work Regulations 1989 (S.I.
		members of the public and others who have legitimate access to the			1989/635)
		premises, and the methods of delivery			Health and Safety Information for Employees
					Regulations 1989 (S.I. 1989/682)
					The Noise at Work Regulations 1989
					Health and Safety (Display Screen Equipment)
					Regulations 1992 (S.I. 1992/2792)
					Manual Handling Operations Regulations 1992 (S.I. 1992/2793)
					The Provision and Use of Work Equipment Regulations 1992
					Personal Protective Equipment Regulations 1992 (S.I. 1992/2966)
					Health and Safety (Safety Signs and Signals)
					Regulations 1996 (S.I. 1996/341)
					The Fire Precautions (Workplace) Regulations
					1997
					Provision and Use of Work Equipment Regulation 1998 (S.I. 1998/2306)
					Management of Health and Safety at Work
					Regulations 1999 (S.I. 1999/3242)
					Control of Asbestos at Work Regulations 2002
					Control of Lead at Work Regulations 2002 (S.I. 2002/2676)
					Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677)
Health, Safety and Security	Hazardous substance exposure control	List of employees exposed to Group 3 or Group 4 biological agents (defined in the Regulations)	Last activity + 40 years	Dispose	Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677)
		Accidents and incidents involving Group 3 or Group 4 biological			
		agents (defined in the Regulations)			
Health, Safety and Security	Hazardous substance exposure control	agents (defined in the Regulations) Conduct and results of monitoring of exposure of employees to	Last activity + 6 years	Dispose	Control of Substances Hazardous to Health
Health, Safety and Security	Hazardous substance exposure control		Last activity + 6 years	Dispose	Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677)
Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to	Last activity + 40 years	Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health
	-	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of	Last activity + 40 years		Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677)
	-	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees	Last activity + 40 years		Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I.
	-	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees	Last activity + 40 years		Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676)
	-	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees	Last activity + 40 years		Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I.
	-	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead,	Last activity + 40 years		Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676)
Health, Safety and Security	Hazardous substance exposure control	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes	Last activity + 40 years Elimination of risk + 6 years OR	Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I.
Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes employees to lead Conduct and results of risk assessments of work which exposes employees to asbestos	Last activity + 40 years Elimination of risk + 6 years OR Review/updating of assessment + 6 years	Dispose Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2003
Health, Safety and Security Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes employees to lead Conduct and results of risk assessments of work which exposes	Last activity + 40 years Elimination of risk + 6 years OR Review/updating of assessment + 6 years Completion of all work to which the assessment relates + 6	Dispose Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I. 2002/2676)
Health, Safety and Security Health, Safety and Security Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes employees to lead Conduct and results of risk assessments of work which exposes employees to asbestos Certificates of medical examination of identifiable individual	Last activity + 40 years Elimination of risk + 6 years OR Review/updating of assessment + 6 years Completion of all work to which the assessment relates + 6 years	Dispose Dispose Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2003
Health, Safety and Security Health, Safety and Security Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes employees to lead Conduct and results of risk assessments of work which exposes employees to asbestos Certificates of medical examination of identifiable individual employees who are exposed to asbestos Accident books, reports of accidents and dangerous occurrences Recording of accidents, dangerous occurrences and outbreaks of	Last activity + 40 years Elimination of risk + 6 years OR Review/updating of assessment + 6 years Completion of all work to which the assessment relates + 6 years Date of certificate + 40 years	Dispose Dispose Dispose Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2003 Control of Asbestos at Work Regulations 2007 The Social Security (Claims and Payments) Regulations 1987 Statutory
Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Health and safety incident recording,	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes employees to lead Conduct and results of risk assessments of work which exposes employees to asbestos Certificates of medical examination of identifiable individual employees who are exposed to asbestos Accident books, reports of accidents and dangerous occurrences Recording of accidents, dangerous occurrences and outbreaks of notifiable diseases on the institutions premises	Last activity + 40 years Elimination of risk + 6 years OR Review/updating of assessment + 6 years Completion of all work to which the assessment relates + 6 years Date of certificate + 40 years	Dispose Dispose Dispose Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2003 Control of Asbestos at Work Regulations 2003 Control of Asbestos at Work Regulations 2007 The Social Security (Claims and Payments) Regulations 1987 Statutory Instrument 1993 No. 1985
Health, Safety and Security Health, Safety and Security Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Health and safety incident recording,	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes employees to lead Conduct and results of risk assessments of work which exposes employees to asbestos Certificates of medical examination of identifiable individual employees who are exposed to asbestos Accident books, reports of accidents and dangerous occurrences Recording of accidents, dangerous occurrences and outbreaks of	Last activity + 40 years Elimination of risk + 6 years OR Review/updating of assessment + 6 years Completion of all work to which the assessment relates + 6 years Date of certificate + 40 years	Dispose Dispose Dispose Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2003 Control of Asbestos at Work Regulations 2003 Control of Asbestos at Work Regulations 2007 The Social Security (Claims and Payments) Regulations 1987 Statutory Instrument 1993 No. 1985
Health, Safety and Security Health, Safety and Security Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Health and safety incident recording,	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes employees to lead Conduct and results of risk assessments of work which exposes employees to asbestos Certificates of medical examination of identifiable individual employees who are exposed to asbestos Accident books, reports of accidents and dangerous occurrences Recording of accidents, dangerous occurences and outbreaks of notifiable diseases on the institutions premises Notification and reporting of reportable accidents, dangerous	Last activity + 40 years Elimination of risk + 6 years OR Review/updating of assessment + 6 years Completion of all work to which the assessment relates + 6 years Date of certificate + 40 years	Dispose Dispose Dispose Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2003 Control of Asbestos at Work Regulations 2007 The Social Security (Claims and Payments) Regulations 1987 Statutory Instrument 1993 No. 1985 The Reporting of Injuries, Diseases and Dangerout
Health, Safety and Security Health, Safety and Security Health, Safety and Security Health, Safety and Security	Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Hazardous substance exposure control Health and safety incident recording,	Conduct and results of monitoring of exposure of employees to substances hazardous to health: general exposure Conduct and results of monitoring of exposure of employees to substances hazardous to health, including lead, asbestos: exposure of identifiable individual employees Health surveillance information of identifiable individual employees who are exposed to substances hazardous to health, including lead, asbestos Conduct and results of risk assessments of work which exposes employees to lead Conduct and results of risk assessments of work which exposes employees to asbestos Certificates of medical examination of identifiable individual employees who are exposed to asbestos Accident books, reports of accidents and dangerous occurrences Recording of accidents, dangerous occureces and outbreaks of notifiable diseases on the institutions premises Notification and reporting of reportable accidents, dangerous occurrences and outbreaks of notifiable diseases to enforcing	Last activity + 40 years Elimination of risk + 6 years OR Review/updating of assessment + 6 years Completion of all work to which the assessment relates + 6 years Date of certificate + 40 years	Dispose Dispose Dispose Dispose	Regulations 2002 (S.I. 2002/2677) Control of Substances Hazardous to Health Regulations 2002 (S.I. 2002/2677) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2005 Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Lead at Work Regulations 2002 (S.I. 2002/2676) Control of Asbestos at Work Regulations 2003 Control of Asbestos at Work Regulations 2007 The Social Security (Claims and Payments) Regulations 1987 Statutory Instrument 1993 No. 1985 The Reporting of Injuries, Diseases and Dangerou

Health, Safety and Security	Health and safety emergency planning	Nomination/appointment of fire stewards and first aiders	End of relationship	Dispose	Health and Safety at Work Act 1974 The Fire Precautions (Workplace) Regulations 1997 Management of Health and Safety at Work Regulations 1999 (S.I. 1999/3242)
Health, Safety and Security	Health and safety emergency planning	Provision of role-specific training for fire stewards and first aiders	End of relationship + 6 years	Dispose	Health and Safety at Work Act 1974 The Fire Precautions (Workplace) Regulations 1997 Health and Safety (First-Aid) Regulations 1981 (S.I 1981/917)
Legal Counsel	Legal claims and litigation management	Legal support and representation for the institution dealing with claims by or against the institution which do not proceed to litigation or settlement by an agreement Litigation between the institution and third parties which does not set legal precedents	Settlement/withdrawal of claim/case + 6 years	Review for archival value	Limitation Act 1980 c.58 s.5
Legal Counsel	Litigation management	Litigation between the institution and third parties where legal precedents are set	Life of institution	Review for archival value	
Legal Counsel	Legal advice	Legal advice requested by and provided to the insitution concerning: - interpretation of legislation affecting the institution's legal framework, governance, responsibilities or operations - proposals for new legislation affecting the institution's legal framework, governance, responsibilities or operations - the institution's relationships with government bodies and HE regulators - industrial relations issues - health, safety and environment issues	Life of institution	Review for archival value	
Legal Counsel	Legal advice	Legal advice on other matters requested by and provided to the institution	Superseded + 6 years	Dispose	
Risk, Business Continuity, Insurance and Internal Audit	Insurance and risk management	Insurance underwriting information Claims handling and claims made under insurance policies Risk management data	Current academic year + 6 years	Dispose	Limitation Act 1980 C.58 s. 5
Risk, Business Continuity, Insurance and Internal Audit	Business continuity and emergency planning	Business Impact Analysis, Business Continuity Plans, Tender documentation and Mutual aid agreements Emergency Planning data	End of relationship + 6 years	Dispose	
Corporate Governance and Committees	Governance	University organisation charts	While current	Dispose	Updated monthly, no previous versions retained
Corporate Governance and Committees	Governing body management	Appointment of members of the institution's governing body and its sub-committees Provision of training and development for members of the institution's governing body	End of appointment + 6 years	Review for archival value	Limitation Act 1980 c.58 s.5
Corporate Governance and	Governing body management	Conduct and proceedings of meetings of the institution's governing	Current academic year + 50 years	Review for archival value	
Committees Senior Executive Support Team	Student casework	body and its sub-committees Records of complaints made by students passed on to Faculty/Directorate for response Student suspensions and fitness to practice outcome letters from VC or nominee.	4 years	Dispose	
Senior Executive Support Team	Senior Leadership Team	Contact data to provide administrative support and manage duty rota	End of relationship	Dispose	
Senior Executive Support Team	Honorary award management	Nominations for honorary awards, Emeritus Professorships, Visiting Professorships and Visiting Senior Fellows	Current academic year + 4 years	Dispose	

Function	Records	Record Group	Retention Period	Action	Citations and Notes
Estates	Environmental awareness promotion	Action taken by the institution to raise awareness of environmental issues among its employees, other staff and students, including training	Current year + 6 years	Review for archival value	
Estates	Staff locations	Allocation of staff to workplaces	Up to 40 years	Dispose	Control of Asbestos at Work Regulations 2007
Estates	Biodiversity activities	Biodiversity volunteer management, circulation lists, events	Current year + 6 years		
Estates	Biodiversity trail cameras	Capture of human activity	Immediately	Dispose	Deleted immediately on viewing unless they indicate a person or persons committing an act of vandalism or criminal act. In such cases the images or videos are provided to Security as evidence and then deleted from Estates records.
Estates	Sustainability activities	Staff and student responses to travel survey, bicycle maintenance event attendees	Last activity + 2 years	Dispose	
Estates	Green impact	Staff and student registrations on Green Impact portal	End of registration	Dispose	
Estates	Security management	Security passess issued to visitors, employees, other staff and students	Expiry of pass + 1 year	Dispose	
Estates	Security management	Conduct of routine security surveillance of properties	Creation + 1 month	Dispose	
Estates	Security management	Security breaches or incidents and action taken, including unauthorised access to facilities	Last action + 1 year	Dispose	
Estates	Security management	Conduct and results of security inspections of facilities, and action taken to address issues raised, including inspections by enforcing authorities	Completion of subsequent inspection	Dispose	Longer retention periods may be required by enforcing authorities concerned with particular types of facilities. Information should be sought from the enforcing authority before disposal.
Finance	Financial accounting	Issue of sales invoices and processing of incoming payments Receipt and payment of purchase invoices Payment and/or reimbursement of employees' expenses Payment of honoraria to third parties (unless administered through payroll) Payment of expenses to third parties (e.g. honorary appointees) Handling of petty cash Receipt and processing of students' fees Preparation of annual accounts Income Tax and National Insurance returns including correspondence with the Tax office Calculating Holiday Pay accrual Disclosure of Higher Paid employees Restructuring costs TRAC files		Dispose	Taxes Management Act 1970 c9 Limitation Act 1980 c.58 s. 5 Value Added Tax Act 1994 c23 HMCE 700/21
Finance	Financial accounting	Annual acounts	Current financial year + 6 years	Review for archival value	Taxes Management Act 1970 c9 Limitation Act 1980 c.58 s. 5 Value Added Tax Act 1994 c23 HMCE 700/21
Finance	Financial accounting	PGCE Bursary schedule Landlord return - international	End of relationship + 6 years	Dispose	
Finance	Management, statutory and internal accounting	Analyses of internal deployment of the institution's financial resources Preparation of the institution's statutory accounts Processing of internal accounting transactons between operating unit (i.e. cross-charges)	Current financial year + 6 years s	Review for archival value	Limitation Act 1980 c. 58 s. 5
Finance	Management, statutory and internal accounting	Negotiation and administration of formal contracts between operating units (e.g. for the provision of services)	Termination of contract + 6 years	Review for archival value	
Finance	Funding administration	Administration of annual funding allocations from the appropriate statutory funding body	Current financial year + 10 years	Dispose	
Finance	Funding administration	Administration of research grants provided by research councils or corporate sponsors	Termination of grant + 6 years	Dispose	Limitation Act 1980 c. 58 s. 5

Finance	Funding administration	Administration of scholarship funds SLC remittance processing	Current financial year + 6 years	Dispose	Limitation Act 1980 c. 58 s. 5
Finance	Budget management	Credit note requests Preparation of annual operating budgets	Current financial year + Cycore	Review for archival value	
Finance	Budget management	Monitoring of income and expenditure against annual operating	Current financial year + 6 years	Review for archival value	
		budgets and action taken to deal with variances		D : ():	1
Finance	Cash management	Opening, closure and routine administration of bank accounts	Closure of account + 6 years	Review for archival value	Limitation Act 1980 c. 58 s. 5
Finance	Cash management	Standing orders, direct debits etc.	Life of instruction + 6 years	Dispose	Limitation Act 1980 c. 58 s. 5
Finance	Cash management	Routine bank account deposits/withdrawals/transfers (paying-in slips,	Current financial year + 6 years	Dispose	Taxes Management Act 1970 c. 9
		transfer instructions, bank statements etc.)			Limitation Act 1980 c. 58 s. 5
		Card detail records			
		Legal recoveries			
Finance	Procurement	Applications from prospective suppliers, evaluation of applications,	Rejection + 1 year	Dispose	
		notifications of the outcome - Unsuccessful applications			
		Pre-qualification and due diligence submissions from prospective			
		suppliers			
Finance	Procurement	Applications from prospective suppliers, evalution of applications,	End of contract + 6 years	Dispose	Limitation Act 1980
		notifications of the outcome - Successful applications			PCR 2015
		Contract award report (as required by the Regulations cited),			
		variations to contracts (e.g. revisions, extensions), monitoring of			
		supplier performance and action taken regarding under-performance			
Finance	Procurement	Purchase Orders, Goods Received Notes/Goods Inwards Notes	Current financial year + 6 years	Dispose	HMCE 700/21
					Limitation Act 1980 c. 58 s. 5
Human Resources	Workforce recruitment	Handling of applications for vacancies: unsuccesful applications	Last activity + 1.5 years unless the succesful applicant	Dispose	Equality Act 2010
		including internal applications	requires UKVI sponsorship when all unsuccesful applications		
			must be held for completion of appointment + 6 years		
Human Resources	Employee	Personal, contractual, payroll and pension information about an	Termination of employment tax year + 6 years	Dispose	Limitation Act 1980 c. 58 s. 5
		employee	APART FROM EXCEPTIONS LISTED BELOW		Taxes Management Act 1970 c. 9
		APART FROM EXCEPTIONS LISTED BELOW			The Income Tax (Employments) Regulations 199
					The National Minimum Wage Regulations 1999
					The Statutory Maternity Pay (General) and
					Statutory Sick Pay (General) (Amendment)
					Regulations 2005
				<u> </u>	
Human Resources	Organisational projects and workforce	Management of individual organisational restructuring processes	Current year + 6 years	Review for archival value	
	analysis	Reporting of employee data for workforce planning etc.	unless related to redundancy: completion of process + 12		
		Pay reviews including bonus scheme	years		
Human Resources	Workforce surveys	Individual feedback on training and development programmes	Completion of analysis of feedback	Dispose	
		Identifiable individual responses to workforce surveys and			
		consultations	T 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1 1	<u> </u>	
Human Resources	Employee contract management	Employee absence due to sickness	Termination of employment + 40 years	Dispose	Social Security Contributions and Benefits Act 1992
Human Resources	Industrial relations management	Communications, minutes of meetings, consultations and negotiations	Current year + 20 years	Review for archival value	1552
		with trade union representatives	· · · ·		
IT Services	IT systems user support	User requests for technical and application support and assistance	Last action on request + 1 year	Dispose	
		provided			
IT Services	IT systems user support	provided Development/test/training environments for corporate applications	Up to 1 year	Dispose	Data is refreshed from live systems as per

Function	Records	Record Group	Retention Period	Action	Citations and Notes
Development, Alumni Relations and		Personal data on individual alumni, donors and friends	Indefinite	Dispose	While current (or likely to be current) or required
Engagement (DARE)		· · · · · · · · · · · · · · · · · · ·			for the data processing purposes documented in our privacy policy
Development, Alumni Relations and Engagement (DARE)	Alumni, donors and friends	Mass email communications Internal requests for alumni data	3 months	Dispose	
Development, Alumni Relations and Engagement (DARE)	Alumni, donors and friends	Telephone fundraising	Up to 1 year	Dispose	
00 ()	Fundraising campaign management	Individual responses to fundraising campaigns	Completion of analysis of data	Dispose	
Student Development and Graduate Success	Student placements	Organisation of student placements, including Study Abroad, Erasmus, Turing schemes	End of student relationship + 1 year	Dispose	
Student Development and Graduate Success	e Award ceremonies	Production of award certificates Mailing of award certificates to students who do not attend ceremonies	Ceremony + 6 years	Dispose	
Student Development and Graduate Success	e Award ceremonies	Recording of award ceremony	Ceremony + 1 year		
Student Recruitment & Admissions	Web & digital records	Staff and student profiles on website	End of relationship + 2 years	Dispose	
Student Recruitment & Admissions	Web & digital records	Images of staff, students, models and photographer details	Last activity + 5 years	Dispose	
Student Recruitment & Admissions	Marketing	Photos/videos of students Student testimonials	Last activity + 5 years	Dispose	
Student Recruitment & Admissions	Student recruitment	Enquiries from prospective students	Last activity + 3 years	Dispose	
Student Recruitment & Admissions	International student recruitment	Enquiries at overseas agent events International scholarship applications	Last activity + 2 years	Dispose	
Student Recruitment & Admissions	Education liaison	Pupil and teacher personal data	End of current year	Dispose	
Student Recruitment & Admissions	Student admission	Handling of applications for admission: unsuccessful applications	Current cycle + 1.5 years	Dispose	SI 2006/1031 Regulations 23, 39 and 42 SI 2003/1660 Regulations 20, 31 and 34 SI 2003/1661 Regulations 20, 31 and 34 Actions under discrimination legislation must usually be brought within 6 months
Student Recruitment & Admissions	Student admission	UKVI application and immigration documents for admission: successful and unsuccessful applications	End of relationship + 1 year	Dispose	The shorter period of either: - one year from the date you end your sponsorship of the migrant OR - if the migrant is no longer sponsored by the university, the point at which a compliance officer has examined and approved the document
Student Recruitment & Admissions	Student admission	Disclosure and Barring Service (DBS) Certificates and Certificate information (criminal records): unsuccessful applications	Receipt of information + 6 months	Dispose	
Student Recruitment & Admissions	Student admission	Disclosure and Barring Service (DBS) Certificates and Certificate information (criminal records): successful applications	Student registration + 6 months	Dispose	
Student Recruitment & Admissions	Student induction	Design, conduct and review of induction programmes for new students	Completion of induction + 6 years	Review for archival value	Limitation Act 1980 c. 58 s. 5
Student Recruitment & Admissions	Student induction	Administration of induction programmes and events for new students	Current academic year + 1 year	Dispose	
Student Services	Archives and special collections	Selection and governance of individual archives and special collections Personal details of archive and special collections donors	Life of archive	Dispose	
Student Services	Archives and special collections	Personal details for access to the archives and special collections Self-service photography/scanning forms	7 years	Dispose	
Student Services	Copyright	Applications for permission to copy material outside the scope of the Higher Education License Agreement, and the results Requests from third parties to use material in which the institution owns the copyright	Last activity + 6 years	Dispose	Limitation Act 1980 c. 58 s. 5
Student Services	Information Centre	Student/staff access to library resources - LMS ALMA Student enquiries in Student Information Desk (SID)	End of student/staff relationship + 6 years	Dispose	

Student Services	Information Centre	Student personal data to provide replacement ID cards	Current academic year + 1 year	Dispose
		External library membership		
		Incidents in library buildings		
		Student applications for TfL/Oyster cards		
Student Services	Information Centre/LLS	Student and staff feedback	Current academic year + 4 years	Dispose
		Banned students		
Student Services	Information Centre	Lost property	1 year	Dispose
Student Services	Information Centre	Personal data for the "Live Chat" tool used to support all Information	Completion of enquiry	Dispose
		Centre and LLS services		
Student Services	Information Centre	Sconul access scheme	3 years	Dispose
Student Services	Information Centre	Correspondence with local councils on student Council Tax	6 months	Dispose
		Accommodation references provided for students		
Student Services	Information Centre	Purchase orders, invoicing and payment for university sports facilities	End of club usage + 6 months	Dispose
		including Tolworth Court		
Student Services	Information Centre	Booking of library study spaces by members of the public/visitors	48 hours	Dispose
Student Services	Library enquiries	Requests to the library for materials or assistance relating to the REF	Until the next REF cycle	Dispose
Student Services	Library enquiries	Requests to the library for materials or assistance relating to theses	Indefinite	Dispose
Student Services	Library enquiries	Direct messages on LLS social media accounts	Last activity + 3 years	Dispose
Student Services	Library enquiries	Other requests to the library for materials or assistance	Last activity + 4 years	Dispose
Student Services	Library support	Library one to one training and support sessions	End of relationship	Dispose
Student Services	Sports development	Students on sports development schemes	End of relationship	Dispose
Student Services	Student engagement	Individual students' attendance	End of student relationship + 1 year	Dispose
Student Services	Student life, health & wellbeing	Modified test/exam arrangements	Current academic year + 1 year	Dispose
Student Services	Student life, health & wellbeing	Requests for counselling and personal data provided by student	Current academic year + 6 years	Dispose
		Reports of bullying, harrassment and safeguarding issues		
		Personal evacuation plans		

Function	Records	Record Group	Retention Period	Action	Citations and Notes	
Faculty offices	Minutes and action lists	Meeting minutes for Faculty Management Groups etc.	Current academic year + 6 years	Dispose		
		FRDC Chair's action list				
Faculty offices	Accreditation submissions	HR personnel information for accreditations	Current academic year + 6 years	Dispose		
		Application, enrolment and ceremony data for accreditations				
Faculty offices	Newsletters	Faculty newsletter with staff success stories, images and videos	Current academic year + 6 years	Dispose		
Faculty offices	Staff information	Staff distribution lists and organisation charts	Current academic year + 6 years	Dispose		
Faculty offices	General enquiries	General enquiries	Last activity + 2 years	Dispose		
Faculty offices	Student stipend payment	Student stipend payment record	Current academic year + 6 years	Dispose		